

# On the Dyson-Schwinger equations in First Order Formalism

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- 1 Motivation
  - Approaches to understand Confinement
  - Infrared behaviour of Coulomb gauge QCD Green Functions
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- 5 Feynman Rules
- 6 Summary and Outlook

## Some Selected Approaches to Confinement:

see e.g. R.A. and J. Greensite, *Quark Confinement: The Hard Problem of Hadron Physics*, J. Phys. **G34** (Special focus issue on Hadron Physics) (2007) S3.

- ▶ **chromomagnetic monopoles**  
't Hooft, diGiacomo, ...
- ▶ **center vortices**  
Greensite, Olejnik, ...
- ▶ **Coulomb confinement**  
Gribov, Zwanziger, ...
- ▶ **Landau gauge Green Functions**  
Smekal, Fischer, ...
- ▶ **AdS<sub>5</sub> / QCD correspondence**  
Maldacena, Brodsky, ...

# Theories of Confinement

Properties to be explained:

- String formation
  - Casimir scaling at intermediate distances
  - $N$ -ality at large distances
- Positivity violation of transverse gluons
  - BRST quartet mechanism
  - Oehme–Zimmermann superconvergence relations
- Rôle of Gribov copies
- Conformal IR-YM sector
- $D_\chi$ SB
- $U_A(1)$  anomaly

Note: Not yet understood relations between different approaches, definitely not mutually exclusive.



# Interpolating between Landau and Coulomb gauge

IR behaviour of Landau gauge Green functions understood, see talks by Kai Schwenzer and Markus Huber.

- To uncover relation between Coulomb and Landau gauge: Use **interpolating gauges**  $a\partial_0 A_0 + \partial_i A_i = 0$  with  $1 \geq a \geq 0$ .
- Limit  $a \rightarrow 0^+$  identical to Coulomb gauge? No, additional constraint  $\int d^3x A_0 = \text{const.}$ !
- But: propagators in limit  $a \rightarrow 0^+$  identical to Coulomb gauge ones, see lattice calculations by A. Maas *et al.*
- Infrared analysis of Coulomb gauge QCD Green Functions still impossible!!!

# Infrared behaviour of Coulomb gauge Green Functions

D. Zwanziger, Phys. Rev. Lett. **90** (2003) 102001

One-gluon-exchange in Coulomb gauge QCD is confining!

- $D_{00}(\vec{x}, t) \propto V_C(|\vec{x}|) \delta(t) + \text{non - inst. terms}$
- $\lim_{R \rightarrow \infty} V_{Wilson}(R) \leq \lim_{R \rightarrow \infty} V_C(R)$
- As  $V_{Wilson}(R) = \sigma R + \dots$  one obtains:  $V_C(R) = \sigma_c R$  with  $\sigma_c \geq \sigma$ .
- **OVERCONFINING**
- lattice calculations:  $\sigma_c \approx 3 \times \sigma$ , *i.e.*  $\sqrt{\sigma_c} \approx 600 \dots 750$  MeV.

J. Greensite, S. Olejnik and D. Zwanziger, Phys. Rev. D **69** (2004) 074506  
[arXiv:hep-lat/0401003]

A. Nakamura and T. Saito, Prog. Theor. Phys. **115** (2006) 189  
[arXiv:hep-lat/0512042].



Variational approaches:

- Propagator of transverse gluons vanish in the infrared!

see e.g. A. Cucchieri and D. Zwanziger, Phys. Rev. D **65** (2002) 014001  
A.P. Szczepaniak, Phys. Rev. D **69** (2004) 074031

⇒ **Transverse gluons confined by positivity violation!**  
Cf. gluon propagator in Landau gauge . . .

**But: Underlying assumptions of variational approaches falsified by lattice calculations!**

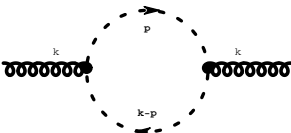
M. Ilgenfritz, private comm.; Aiko Vogt, diploma thesis, HU Berlin 2007.

# Infrared behaviour in the Coulomb gauge

Functional approaches?

Already at perturbative level “catastrophic” divergencies:

Ghost loops in the Coulomb gauge


$$\sim \int \frac{dp_0}{2\pi} \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{1}{(\mathbf{k} - \mathbf{p})^2 k^2} \rightarrow \infty$$

- Cancellation in Perturbation Theory?
- Treatment in a Functional Approach like e.g. RGs and DSEs???

To solve problem change basic formalism!



# Second vs. First Order Formalism

## Second Order Formalism:

$$\langle 0_{\text{out}} | 0_{\text{in}} \rangle = \int \mathcal{D}q \exp \left[ \frac{i}{\hbar} \int d^4x \mathcal{L}(q, \nabla q, \dot{q}) \right].$$

**Symmetries, locality, multiplicative renormalizability**, etc.  
are explicitly realized!

## First Order Formalism:

$$\langle 0_{\text{out}} | 0_{\text{in}} \rangle = \int \mathcal{D}q \mathcal{D}p \exp \left[ \frac{i}{\hbar} \int d^4x (p\dot{q} - H(q, \nabla q, p)) \right].$$

The “momenta”  $p$  are independent,  
i.e. not related to the fields by the canonical equations.  
 $\Rightarrow$  mult. renorm. lost!?! locality obscured!?! transf. laws complicated!!!  
BUT: ENERGY DIVERGENCE PROBLEM SOLVED!



# Second vs. First Order Formalism

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# Second vs. First Order Formalism

Note:

*The renormalizability of the non-abelian gauge theories in Coulomb gauge still unsolved!*

Possible constructive way:

Prove equivalence of DSEs in Second (Lagrangian) and First (Hamiltonian) Order formalism.

First task:

Formulate Feynman rules in First Order Formalism to obtain a systematic procedure to express the respective Green functions and Ward (Slavnov-Taylor) identities in the corresponding ones of the Second Order Formalism.\*

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\*Relation between respective Green functions including their IR behaviour  $\Rightarrow$  relation between different Confinement Scenarios.

# Generating Functionals of 1stOF

S. Villalba-Chávez, K. Schwenzer and R. Alkofer, to be published

$$\mathcal{Z}^H[J] = \langle 0_{\text{out}} | 0_{\text{in}} \rangle_J = \int \mathcal{D}[q] \mathcal{D}[p] \exp \left\{ \frac{i}{\hbar} I[q, p, J] \right\}.$$

where

$$I = I_0[q, p] + \int d^4x J(x) \cdot \phi(x)$$

includes

$$\phi(x) \equiv \begin{pmatrix} p_m(x) \\ q_m(x) \end{pmatrix} \quad \text{and} \quad J(x) \equiv \begin{pmatrix} J_{mp}(x) \\ J_{mq}(x) \end{pmatrix},$$

Note: Action replaced by

$$I_0[q, p] = \int d^4x \{ p_m(x) \dot{q}_m(x) - H(q, \nabla q, p) \}.$$

# Generating Functionals of 1stOF

Full -> connected:

$$\mathcal{W}^H[\mathcal{J}] = -i \ln \left( \mathcal{Z}^H[\mathcal{J}] \right),$$

connected -> 1PI:

$$\Gamma^H[\phi] \stackrel{\text{def}}{=} \mathcal{W}^H[\mathcal{J}] - \int d^4x \mathcal{J}(x) \cdot \bar{\phi}(x).$$

with "classical" fields and momenta s.t.

$$\frac{\delta \Gamma^H}{\delta \bar{\phi}(x)} = -\mathcal{J}(x).$$

# Generating DSEs

for full Green functions:

$$\left. \frac{\delta I_0}{\delta \phi} \right|_{\phi(x) \rightarrow \frac{\hbar}{i} \frac{\delta}{\delta J(x)}} \mathcal{Z}[J] = -J(x) \mathcal{Z}[J]$$

for connected Green functions:

$$\left. \frac{\delta I_0}{\delta \phi} \right|_{\phi(x) \rightarrow \frac{\delta \mathcal{W}^H}{\delta J(x)} + \frac{\hbar}{i} \frac{\delta}{\delta J(x)}} = -J(x),$$

for 1PI Green functions:

$$\frac{\delta \Gamma^H}{\delta \bar{\phi}(x)} = \left. \frac{\delta I_0}{\delta \phi(x)} \right|_{\phi(x) \rightarrow \bar{\phi}[J](x) + \frac{\hbar}{i} \int d^4 x' \mathbb{D}[J](x, x') \frac{\delta}{\delta \Phi(x')}}$$

Despite formal similarity to respective 2nd order Generating Eqs.  
these are much more complicated!

# Generating Slavnov-Taylor Identities

If simultaneous infinitesimal transformations

$$\delta\phi[\phi] \equiv \begin{pmatrix} \epsilon\mathfrak{G}_m[\rho, q; x] \\ \epsilon\mathfrak{F}_m[\rho, q; x] \end{pmatrix}$$

leave functional integral “measure” invariant:

$$0 = \int d^4x \frac{\delta\Gamma^H}{\delta\bar{\phi}} \cdot \delta\phi[\phi] \Big|_{\phi \rightarrow \bar{\phi}[J] + \frac{\hbar}{i} \int d^4y \mathbb{D}[J](x,y) \frac{\delta}{\delta\phi(y)}} .$$

generates all Ward (Slavnov-Taylor) Identities

# Equivalence Relations

$n$ -momenta Green function

$$\Delta_{i_1 \dots i_n}^{p \dots p} = \frac{\int \mathcal{D}[p] \mathcal{D}[q] \frac{\hbar}{i} \frac{\delta}{J_{i_1 p}} \dots \frac{\hbar}{i} \frac{\delta}{J_{i_n p}} \exp \left[ \frac{i}{\hbar} \{ I[q, p, \mathcal{J}] \} \right]}{\int \mathcal{D}[p] \mathcal{D}[q] \exp \left[ \frac{i}{\hbar} \{ I[q, p, \mathcal{J}] \} \right]}$$

Hamiltonian quadratic in momenta:

$$\Delta_{i_1 \dots i_n}^{p \dots p} = \frac{\int \mathcal{D}[q] \left\{ J_{i_1 p} + \frac{\delta \mathcal{S}}{\delta q_{i_1}} \right\} \dots \left\{ J_{i_n p} + \frac{\delta \mathcal{S}}{\delta q_{i_n}} \right\} \exp \left[ \frac{i}{\hbar} \tilde{\mathcal{S}} \right]}{\int \mathcal{D}[q] \exp \left[ \frac{i}{\hbar} \tilde{\mathcal{S}} \right]}.$$

with

$$\tilde{\mathcal{S}} = \mathcal{S} + \frac{1}{2} J_{ip} J_{ip} + J_{ip} \frac{\delta \mathcal{S}}{\delta \dot{q}_i} + J_{iq} q_i$$

where  $\mathcal{S}$  is the standard action.

Note: Integrand independent of the  $p_m$ .





# Equivalence Relations

- DSEs

$$\Delta_{i_1 \dots i_n}^{p \dots p} = \left\{ J_{i_1 p} + \frac{\delta \mathcal{S}}{\delta \dot{q}_{i_1}} \right\} \cdots \left\{ J_{i_n p} + \frac{\delta \mathcal{S}}{\delta \dot{q}_{i_n}} \right\} \Big|_{q_m \rightarrow \frac{\delta \mathcal{W}^H}{\delta J_q} + \frac{\hbar}{i} \frac{\delta}{\delta J_q}} .$$

- 1-point function  $\langle p_i[\mathcal{J}] \rangle$

$$\bar{p}_i[\mathcal{J}] = \frac{\delta \mathcal{S}}{\delta \dot{q}_i} \Big|_{q \rightarrow \frac{\delta \mathcal{W}^H}{\delta J_q} + \frac{\hbar}{i} \frac{\delta}{\delta J_q}} + J_{ip} = \frac{\delta \mathcal{W}^H}{\delta J_{ip}(\mathbf{x}, \tau)} .$$

- Expressed in 1PI Generating Functional

$$\frac{\delta \Gamma^H}{\delta \bar{p}_i} = -\bar{p}_i + \frac{\delta \mathcal{S}}{\delta \dot{q}_i} \Big|_{q_m \rightarrow \bar{q}_i[\mathcal{J}] + \frac{\hbar}{i} \Delta_{ij}^{qq}[\mathcal{J}] \frac{\delta}{\delta q_j}} .$$

- provides the identities

$$\Gamma[q] = \mathcal{W}[J_q] - \int d^4x J_{mq}(x) \bar{q}_m(x) \quad \text{and} \quad \bar{p}_i = \frac{\delta \mathcal{S}}{\delta \dot{q}_i} \Big|_{q_m \rightarrow \bar{q}_i[\mathcal{J}] + \frac{\hbar}{i} \Delta_{ij}^{qq}[\mathcal{J}] \frac{\delta}{\delta q_j}}$$



# Equivalence Relations

Performing in

$$0 = \int \mathcal{D}[q] \frac{\delta}{\delta q_m} \int \mathcal{D}[p] \exp \left[ \frac{i}{\hbar} I[q, p, \mathcal{J}] \right].$$

the  $p$ -integration provides

$$0 = \int \mathcal{D}[q] \left( \frac{\delta \mathcal{S}}{\delta q_i} + J_{mp} \frac{\delta^2 \mathcal{S}}{\delta q_i \delta \dot{q}_m} + J_{iq} \right) \exp \left[ \frac{i}{\hbar} \tilde{\mathcal{S}}[q, \mathcal{J}] \right],$$

and thus

$$\frac{\delta \Gamma^H}{\delta \bar{q}_i} = \left( \frac{\delta \mathcal{S}}{\delta q_i} + \left\{ p_m - \frac{\delta \mathcal{S}}{\delta \dot{q}_m} \right\} \frac{\delta^2 \mathcal{S}}{\delta q_i \delta \dot{q}_m} \right) \Big|_{q_j \rightarrow q^*}$$

where  $q^* = \bar{q}_j[\mathcal{J}] + \frac{\hbar}{i} \Delta_{jk}^{qq}[\mathcal{J}] \frac{\delta}{\delta \bar{q}_k}$ .

# Equivalence Relations

From the above relations one obtains

$$\frac{\delta \Gamma^L}{\delta \bar{q}_i} = \frac{\delta \mathcal{S}}{\delta q_i} \Big|_{q_j \rightarrow \bar{q}_j[J_q] + \frac{\hbar}{i} \Delta_{jk}^{qq}[J_q] \frac{\delta}{\delta \bar{q}_k}} = -J_{iq}.$$

**which is the well-known Generating Functional DSE in the standard Lagrange formalism.**

Analogous reformulations:

Slavnov-Taylor identity is reduced to

$$\mathfrak{G}_m[\phi] = \frac{\delta^2 \mathcal{S}}{\delta q_m \delta \dot{q}_n} \mathfrak{F}_m[\phi]$$

# Equivalence Relations

Thus we found the required “*translation rules*”:

$n$ -momenta Green functions are calculated from

$$\Delta_{i_1 \dots i_n}^{p \dots p} = \left( \frac{\delta \mathcal{S}}{\delta \dot{q}_{i_1}} \cdots \frac{\delta \mathcal{S}}{\delta \dot{q}_{i_n}} \right) \Big|_{q \rightarrow \frac{\delta \mathcal{W}}{\delta J_q} + \frac{\hbar}{i} \frac{\delta}{\delta J_q}},$$

any other  $m$ -point Green function with  $n$  external legs associated to  $J_p$ 's can be found by taking  $m - n$  derivatives w.r.t.  $J_{mq}$  in previous formulas.

# Feynman Rules

Notations:

Lagrange formalism

$$\Delta^{\mathbf{q} \dots \mathbf{q}}, i_1 \dots i_n = \text{Diagram} \quad \Gamma^{\mathbf{L}}, i_1 \dots i_n = \text{Diagram}$$

The first diagram shows a white circle with three external lines labeled  $i_1, \dots, i_n$ . The second diagram shows a black circle with three external lines labeled  $i_1, \dots, i_n$ .

Hamilton formalism, pure momenta Green functions

$$\Delta^{\mathbf{p} \dots \mathbf{p}}, i_1 \dots i_n = \text{Diagram} \quad \Gamma^{\mathbf{H}}(\mathbf{p} \dots \mathbf{p}), i_1 \dots i_n = \text{Diagram}$$

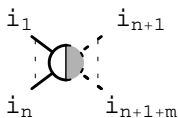
The first diagram shows a grey circle with three external lines labeled  $i_1, \dots, i_n$ . The second diagram shows a grey circle with three external lines labeled  $i_1, \dots, i_n$ .

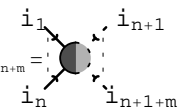
and pure  $n$ -field proper Green functions

$$\Gamma^{\mathbf{H}}(\mathbf{q} \dots \mathbf{q}), i_1 \dots i_n = \text{Diagram}$$

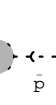
The diagram shows a black circle with three external lines labeled  $i_1, \dots, i_n$ .

## Hamilton formalism, mixed $n + m$ -proper functions

$$\Delta^{q \dots p}, i_1 \dots i_{n+m} =$$


$$\Gamma^H(q \dots p), i_1 \dots i_{n+m} =$$


Additional functions (proper “connectors” coming from the differentiation of  $\bar{p}$  with regard the classical fields)

$$\bar{p}^{(q \dots q)}, i_1 \dots i_n =$$


# Feynman Rules

## Relations for 2-point 1PI functions

## 3-point 1PI functions

## and 4-point 1PI functions

# Feynman Rules

Two point proper function

$$\frac{\delta^2 \mathcal{S}}{\delta q_i \delta q_j} \equiv -\frac{\delta^2 I_0}{\delta q_i \delta p_n} \frac{\delta^2 I_0}{\delta p_n \delta q_j} + \frac{\delta^2 I_0}{\delta q_i \delta q_j},$$

Three proper vertex

$$\frac{\delta^3 \mathcal{S}}{\delta \bar{q}_i \delta \bar{q}_j \delta \bar{q}_k} = \frac{\delta^3 I_0}{\delta q_i \delta q_k \delta p_n} \frac{\delta^2 I_0}{\delta p_n \delta q_j} + \bar{q}_k \leftrightarrow \bar{q}_j \text{permut.} + \bar{q}_i \leftrightarrow \bar{q}_j \text{permut.}$$

Four-point proper vertex

$$\begin{aligned} \frac{\delta^4 \mathcal{S}}{\delta q_i \delta q_j \delta q_k \delta q_l} &= \frac{\delta^3 I_0}{\delta q_i \delta q_k \delta p_n} \frac{\delta^3 I_0}{\delta p_n \delta q_j \delta q_l} + \bar{q}_i \leftrightarrow \bar{q}_l \text{permut.} \\ &+ \bar{q}_k \leftrightarrow \bar{q}_l \text{permut.} \\ &+ \bar{q}_k \leftrightarrow \bar{q}_l \text{permut.} + \frac{\delta^4 I_0}{\delta q_i \delta q_j \delta q_k \delta q_l}. \end{aligned}$$



Above rules result in

$$\begin{aligned}
 & \text{Diagram 1} = \text{Diagram 2} - \frac{i}{2} \text{Diagram 3} + \frac{1}{6} \text{Diagram 4} \\
 & \text{Diagram 5} = \text{Diagram 6} - \frac{1}{2} \text{Diagram 7} + 2 \text{ perm.} + \frac{i}{6} \text{Diagram 8} + 5 \text{ perm.}
 \end{aligned}$$

etc.,

and **equivalence is shown straightforwardly.**

Additional vertex

$$\sim gf^{abc}\vec{\Pi}^a\vec{A}^bA_0^c,$$

implies:

- Generation of loops that involve propagators like  $\mathcal{D}_{\Pi A}$ ,  $\mathcal{D}_{\Pi A_0}$ , etc..
- The vacuum expectation value of  $\vec{\Pi}$  is non-trivial in contrast to scalar field theory and QED.

## First Order Functional Approach:

- ▶ Formal equivalence of Lagrange and 1st order formalism.
- ▶ Explicit relations for 1PI Green functions via Feynman rules and diagrammatic techniques.
- ▶ Explicit solution for  $\phi^4$  theory and QED.
- ▶ Not unexpected: Yang-Mills theory only implicitly resolvable.
- ? Renormalization and IR analysis of Coulomb gauge Yang-Mills theory . . .

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## More information . . .

Homepage of the group

*Strong Interactions in Continuum Quantum Field Theory:*

<http://physik.uni-graz.at/itp/sicqft/>

Homepage of the FWF-funded Doctoral Program

*Hadrons in Vacuum, Nuclei and Stars:*

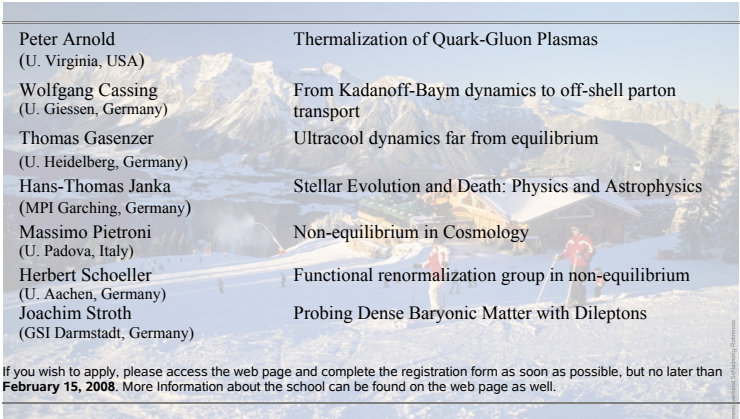
<http://physik.uni-graz.at/itp/doktoratskolleg/>

C. Gattringer (Lattice), C.B. Lang (Lattice), W. Plessas (Quark Models), W. Schweiger (Exclusive Hadron Reactions), & RA (SICQFT)

# Non-equilibrium aspects of Quantum Field Theory:

## From cosmology to table-top experiments

Schladming, Styria, Austria, February 23 - March 1, 2008



Peter Arnold (U. Virginia, USA)	Thermalization of Quark-Gluon Plasmas
Wolfgang Cassing (U. Giessen, Germany)	From Kadanoff-Baym dynamics to off-shell parton transport
Thomas Gasenzer (U. Heidelberg, Germany)	Ultracool dynamics far from equilibrium
Hans-Thomas Janka (MPI Garching, Germany)	Stellar Evolution and Death: Physics and Astrophysics
Massimo Pietroni (U. Padova, Italy)	Non-equilibrium in Cosmology
Herbert Schoeller (U. Aachen, Germany)	Functional renormalization group in non-equilibrium
Joachim Stroth (GSI Darmstadt, Germany)	Probing Dense Baryonic Matter with Dileptons

If you wish to apply, please access the web page and complete the registration form as soon as possible, but no later than **February 15, 2008**. More information about the school can be found on the web page as well.

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